



गार्डन रीच शिपबिल्डर्स एण्ड इंजीनियर्स लिमिटेड
**Garden Reach Shipbuilders
& Engineers Limited**

(भारत सरकार का उपक्रम , रक्षा मंत्रालय)

(A GOVERNMENT OF INDIA UNDERTAKING, MINISTRY OF DEFENCE)

CIN NO. : L35111WB1934GOI007891

SECY/GRSE/BD-69/40(9)/HY1/19-20

14 Oct 2019

To,

National Stock Exchange of India Limited

Exchange Plaza,
Plot No. C-1, Block G,
Bandra Kurla Complex, Bandra (E),
Mumbai – 400 051
Symbol: GRSE

BSE Limited

Floor-25, Phiroze Jeejeebhoy
Towers,
Dalal Street, Fort
Mumbai – 400 001
Scrip Code: 542011

Dear Sir / Madam,

Sub: Compliance Certificate under Regulation 40(9) & (10) of SEBI (LODR) Regulations, 2015 – GRSE

1. In terms of Regulation 40(9) & (10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find enclosed the Compliance Certificate issued by a Practicing Company Secretary for the half year ended 30th September, 2019.
2. This is for your information and record.

Thanking You,

Yours faithfully,
For GARDEN REACH SHIPBUILDERS & ENGINEERS LIMITED


Sandeep Mahapatra

Company Secretary and Compliance Officer

Encl: As above

Maheshwari R & Associates
Company Secretaries

16 British Indian Street
Room 2D, 2nd Floor,
Kolkata-700 001
26389129(R)
Mobile : 9432232757
Email : rashmi3309@rediffmail.com

CERTIFICATE UNDER REGULATION 40(9) OF SEBI (Listing Obligations and Disclosure Requirements) REGULATIONS, 2015 [LODR]

For the Half Year Ended 30th September 2019

We have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to M/s Garden Reach Shipbuilders & Engineers Limited, Kolkata maintained by M/s Alankit Assignments Limited, New Delhi pertaining to transfer of equity shares of the company for the half year ended on 30th September 2019 for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company and the Registrar and Share Transfer Agent, We hereby certify that:

- A. Share Certificates relating to the Share Transfer Deeds received during the period from 1st April 2019 to 30th September 2019 have been issued within the stipulated time from respective date of lodgment of transfer.
- B No requests for sub-division, consolidation, renewal, exchange, endorsement of calls/allotment monies or issue of duplicate share certificates has been received by the Company during the period from 1st April 2019 to 30th September 2019.

For Maheshwari R & Associates



Rashmi Maheshwari
Rashmi Maheshwari
Company Secretary
C.P.No. 3309 of ICSI
FCS. 5126 of ICSI
UDIN: F005126A000061479

Place : Kolkata
Date : 12th October 2019